

WP3 Data Management, Data Security and Interoperability

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Task 3.1: FAIR Data Management (1)



- **Development of a Data Management Plan (DMP)**
 - Inventory of data sets, data items and potential artifacts
 - Suitable metadata annotations & terminologies
 - Data Sharing & integration of Data Governance Policy, suitable license
 - Patient data protection & security aspects, including threat analysis
- **Maintenance of the DMP throughout the project**
- **Deposition & long-term archiving of datasets & artifacts**



Task 3.1: FAIR Data Management (3)



- **Current status & ongoing work**
 - data management aspects were contributed to the requirements specification document & clinical investigation plan
 - second release of DMP in preparation
 - addition of DataCite metadata schema for annotation of datasets
 - addition of phase II data capture aspects
 - extension of inventory with phase II datasets





Task 3.1: FAIR Data Management (4)

- Metadata annotation
 - proposal to use [DataCite schema](#)

Mandatory Elements

DOI: [DOI] ?

Title(s): [TITLE] ? +
[LANG] ? [titleType] v

Creator(s): [CREATOR NAME] [nameType] v ? +
[GIVEN NAME] (optional) [FAMILY NAME] (optional)
[NAME IDENTIFIER] [nameIdentifierScheme] v
[IDENTIFIER SCHEME URI] +
[CREATOR AFFILIATION] [LANG] +

Publisher: [PUBLISHER] ?
[LANG]

Publication Year: [YYYY] ?

Resource Type: [RESOURCE TYPE] [resourceTypeGeneral] v ?

- Recommended Elements

Subject(s): [SUBJECT] [subjectScheme] v ? +
[SUBJECT SCHEME URI] [LANG]
[SUBJECT VALUE URI]

Contributor(s): [CONTRIBUTOR NAME] [contributorType] v ? +
[GIVEN NAME] (optional) [FAMILY NAME] (optional)
[NAME IDENTIFIER] [nameIdentifierScheme] v
[IDENTIFIER SCHEME URI] +
[CONTRIBUTOR AFFILIATION] +
[LANG]

Date(s): [DATE] ? +
[DATE INFORMATION]
[dateType] v

Related Identifier(s): [RELATED IDENTIFIER] ? +
[relatedIdentifierType] v [relationType] v
[METADATA SCHEME] [SCHEME TYPE]

- Other Elements

Language: [LANG] ?

Alternate Identifier(s): [ALTERNATE IDENTIFIER] [ALTERNATE ID TYPE] ? +

Size(s): [SIZE] ? +

Format(s): [FORMAT] ? +

Version: [VERSION] ?

Rights List: [RIGHTS] ? +
[LANG] [SCHEME URI]
[RIGHTS IDENTIFIER SCHEME] [RIGHTS IDENTIFIER]
[RIGHTS URI]

Funding Reference: [FUNDER NAME] ? +
[FUNDER IDENTIFIER] [funderIdentifierType] v
[AWARD NUMBER] [AWARD URI]
[AWARD TITLE]



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Task 3.1: FAIR Data Management (5)



- **Metadata annotation with DataCite**
 - requires assigning names to each dataset
 - including a single „Creator“ (organization or individual)
 - and several contributors
 - encourages ORCID identifiers for contributors
- **For discussion:**
 - **should we assign „IMMERSE Consortium“ as creator & include associated team members as contributors?**
 - **do all team members have ORCID identifiers?**



Task 3.1: FAIR Data Management (6)



- **Long-term archiving options**
- Services available at FAU Erlangen
 - FAU DataCloud: storage of not publicly available datasets, assignment of PIDs or (optionally) DOIs, registration of datasets in CRIS research information system of FAU
 - FAU RADAR: storage of publicly available datasets, assignment of DOIs, registration in catalog of FAU library
- Services available indendently of FAU
 - Zenodo: storage & catalog of publicly available datasets, assignment of DOIs
- **For discussion:**
 - **which datasets could/should be made available publicly?**



Task 3.2: Interoperable Data Structures (1)



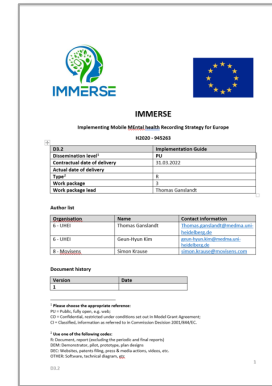
- **Goal: Interoperability with international clinical/scientific data platforms**
 - e.g. German Medical Informatics Initiative, French Health Data Hub, SPHN
 - by use of internationally adopted data structures & terminologies
- **Development of an Implementation guide**
 - based on the data inventory & FAIR guidelines from Task 3.1
 - specification of data structures based on HL7 FHIR standard
 - semantic annotation with terminologies like LOINC & SNOMED CT
- **Design of an architecture for the central IT platform**
- **Maintenance of the Implementation guide throughout the project**



Task 3.2: Interoperable Data Structures (3)



- **Current status & ongoing work**
 - Implementation Guide deliverable released
 - covers architecture, questionnaires (from eCRF, movisensXS and MoMent) & sensor data
 - started version 2 addressing changes to architecture during implementation, semantic annotation of questionnaires
 - added coding of items & responses in Maganamed eCRFs
 - after completion of eCRFs, semantic annotation of questionnaire items and valuesets has started



Approach for the Implementation Guide Architecture

Data Elements for Study & Participants

- IMMERSE_ResearchSubject
- IMMERSE_Patient
- IMMERSE_Organization

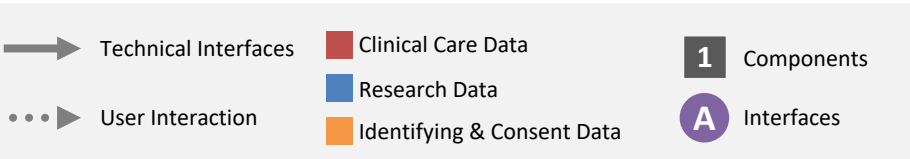
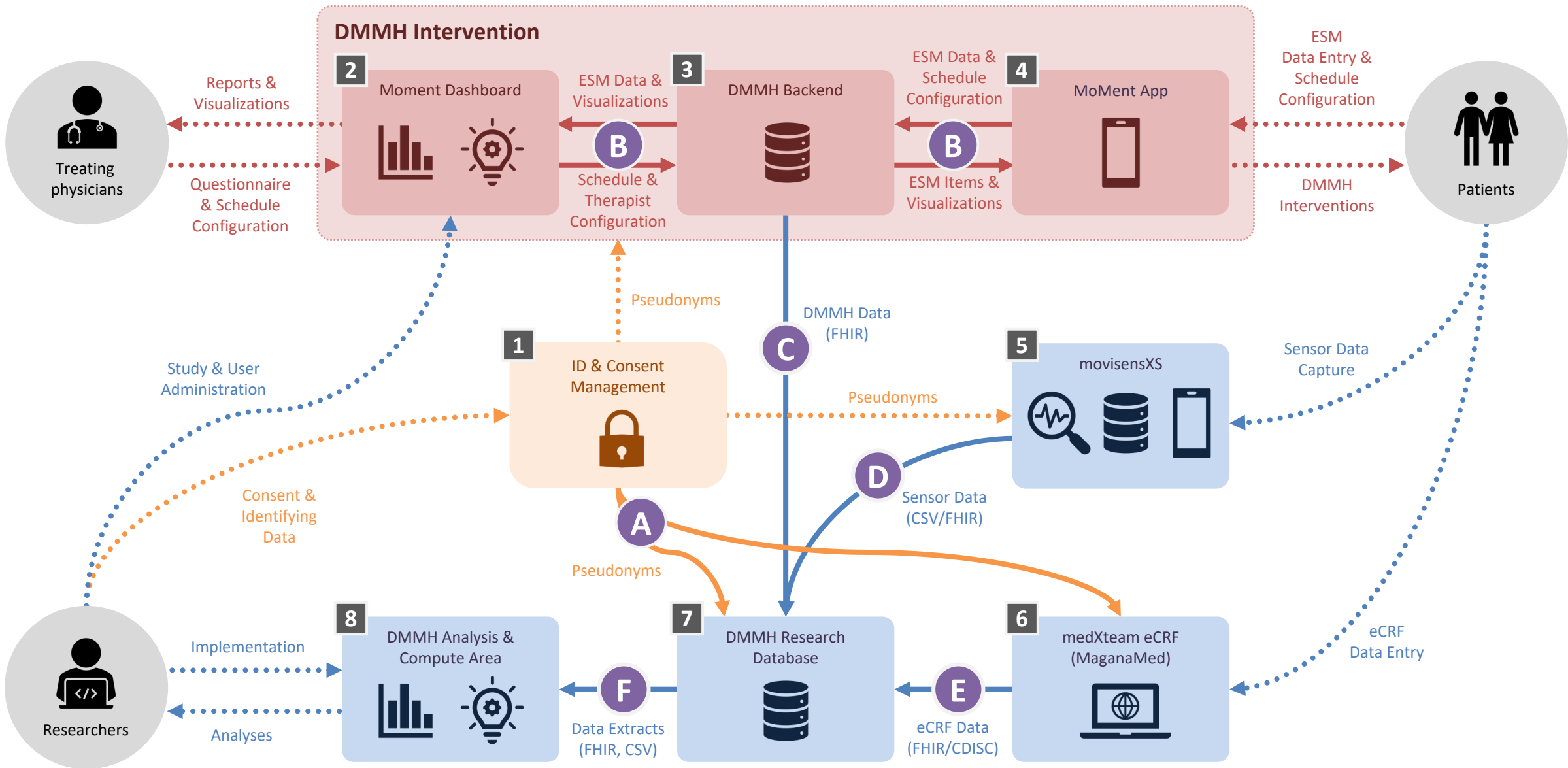
Data Elements for Questionnaires & Responses

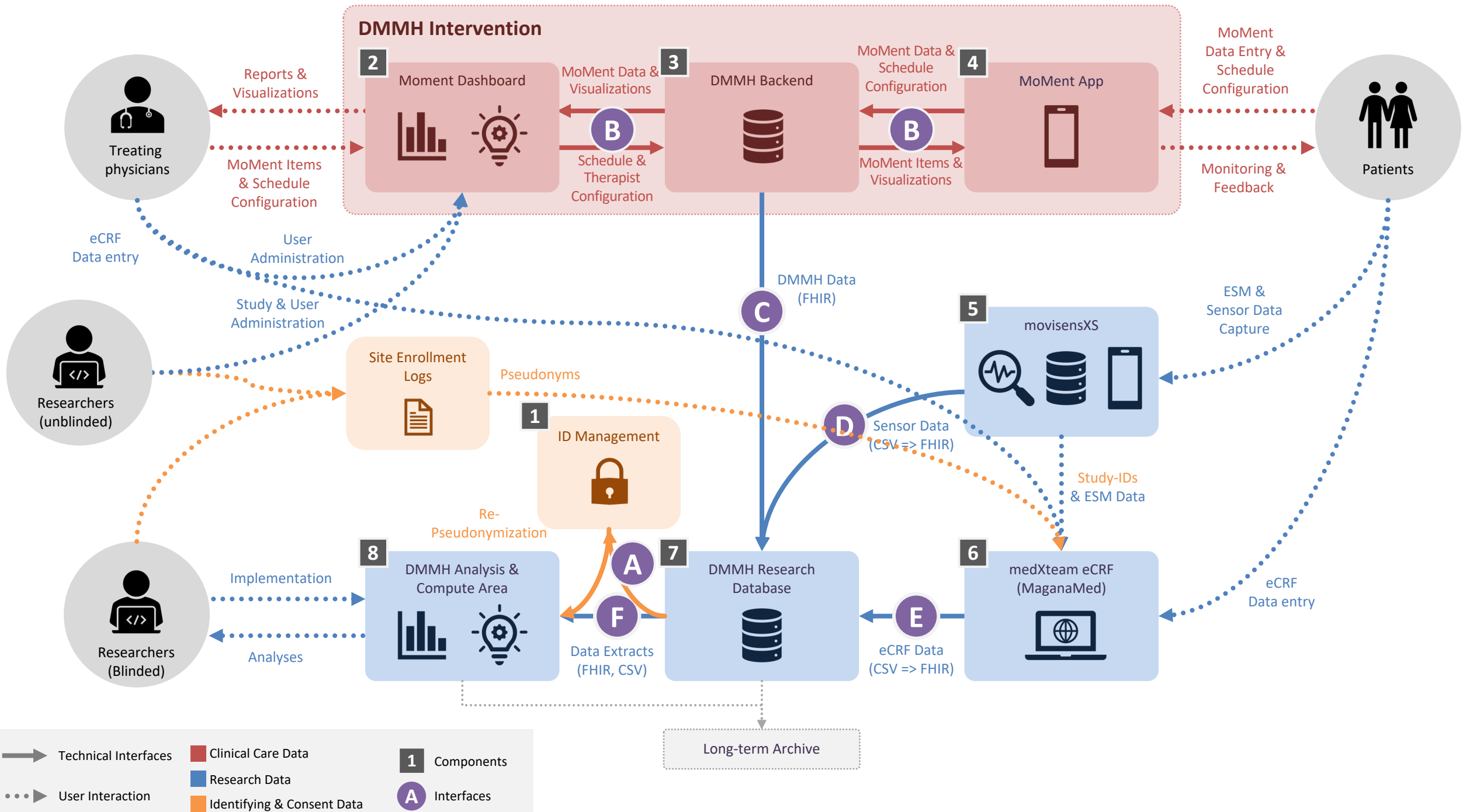
- IMMERSE_Questionnaire
- IMMERSE_QuestionnaireResponse
- IMMERSE_QuestionnaireResponseObservation

Data Elements for Mobile Sensor Data

- IMMERSE_GeolocationObservation
- IMMERSE_ActivityObservation
- IMMERSE_StepsObservation
- IMMERSE_DeviceOnOffObservation
- IMMERSE_DisplayOnOffObservation
- IMMERSE_AppUsageObservation
- IMMERSE_NotificationObservation







Task 3.3: Implementation & Operation of Central IT (1)



- **Based on Implementation Guide (Task 3.2) and Privacy Threat Analysis (Task 3.1)**
- **Scope:**
 - ID- & Consent Management
 - Research Database
- **Interfaces for data import**
 - Manganamed eCRF data
 - movisensXS
 - MoMent App
- **Interfaces for data use**
 - data provision for analysis, including execution (optional) of analysis code
 - data deposition to longterm archiving
- **Provision/Maintenance of platform in 3 releases throughout project**



Task 3.3: Implementation & Operation of Central IT (3)



- **Current status & ongoing work**
 - changes from original approach
 - switch from automated ID management to paper-based approach (site enrollment logs)
 - ID-management used only for re-pseudonymization of exported datasets
 - operation of REDCap instance for randomization
 - capture of organizational data through movisensXS
 - set up & configure components of the central IT infrastructure
 - ID-Management (switched from Mainzelliste to gPAS)
 - REDCap instances at UKER
 - productive: randomization (instance for each site)
 - Shared network drive for provision of datasets
 - implement interfaces between components



Task 3.3: Implementation & Operation of Central IT (4)



- **Current status & ongoing work (cont'd)**
 - implement interfaces between components
 - automated extraction of movisensXS data
 - anonymization of geolocation data
 - automated upload of datasets to UKERCloud shared network drive
 - extraction of Maganamed eCRF data: parsing of codebook completed
 - next steps
 - interface to MoMent App backend
 - parsing of eCRF data content
 - implementation of data entry dashboard
 - setup of FHIR server & transformation of raw data as it becomes available
 - metadata annotation & deposition of phase I datasets & artifacts
 - interface to extract DROPS abstract submission content from Leuven REDCap
 - for discussion: implement dummy datasets?



WP3 – Deliverables & Milestones



- **Deliverables**

- **D3.1: Data Management Plan (M6)**
- **D3.2: Implementation Guide for interoperable data structures and interfaces (M12)**
- **D3.3: Implementation report for 3rd platform release (M36)**

- **Milestones**

- **MS9: First central IT platform release (M18)**
- **MS17: Second central IT platform release (M30)**
- **MS25: Third central IT platform release (M42)**

